Pathways to Technology Transfer and Adoption: Achievements and Challenges (Mini-Tutorial)

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***Abstract*—Producing industrial impact has often been one of the important goals of academic or industrial researchers when conducting research. However, it is generally challenging to transfer research results into industrial practices. There are some common challenges faced when pursuing technology transfer and adoption while particular challenges for some particular research areas. At the same time, various opportunities also exist for technology transfer and adoption.**

This mini-tutorial presents achievements and challenges of technology transfer and adoption in various areas in software engineering, with examples drawn from research areas such as software analytics along with software testing and analysis. This mini-tutorial highlights success stories in industry, research achievements that are transferred to industrial practice, and challenges and lessons learned in technology transfer and adoption.

I. Introduction

Producing industrial impact (such as producing successful technology transfer and adoption) has often been one of the important goals of academic or industrial researchers when conducting research. However, it is generally challenging to transfer research results into industrial practices. Some research areas within software engineering may have more successful stories of technology transfer and adoption than some other areas. There are some common challenges faced when pursuing technology transfer and adoption while particular challenges for some particular research areas. At the same time, various opportunities also exist for technology transfer and adoption.

Built upon a mini-tutorial on *Software Analytics in Practice* [26] that we presented in the ICSE 2012 Software Engineering in Practice (SEIP) track, this mini-tutorial presents achievements and challenges of technology transfer and adoption in various areas in software engineering, with examples drawn from research areas such as software analytics [25] along with software testing and analysis [20]. In particular, the objective of this mini-tutorial is to allow the attendees to gain an overview of successful technology transfer and adoption, learn about challenges and opportunities in technology transfer and adoption, and acquire knowledge needed to carry out technology transfer and adoption. The mini-tutorial covers the topic in the domain of software engineering and includes examples from software engineering, such as code-clone detection for reliability, security, and maintenance [16], [8], [9], mining runtime callstacks [15] or logs [11], [12] for performance diagnosis, static defect detection [16], [4], [2], [27], [3], and test generation [21], [22], [5], [18], [23], [10], [1], [14], [19].

II. Target Audience

The mini-tutorial is targeted at academic researchers, industrial researchers, and software practitioners who have interest in technology transfer and adoption.

Academic researchers. The mini-tutorial gives an overview of collaborations between academic researchers (from universities) and industrial researchers (from industrial research labs) or software practitioners (from product teams at companies). Academic researchers are expected to acquire knowledge needed to carry out collaborations with industrial researchers or software practitioners to strive for successful technology transfer and adoption.

Industrial researchers. The mini-tutorial gives an overview of collaborations between industrial researchers (from industrial research labs) and academic researchers (from universities) or software practitioners (from product teams at companies). Industrial researchers are expected to acquire knowledge needed to carry out collaborations with academic researchers or software practitioners to strive for successful technology transfer and adoption.

Software practitioners. The mini-tutorial gives an overview of collaborations between software practitioners (from product teams at companies) and industrial researchers (from industrial research labs) or academic researchers (from universities). Software practitioners are expected to acquire knowledge needed to carry out collaborations with industrial researchers or academic researchers to strive for successful technology transfer and adoption.

The reasons why the topic is timely and relevant are primarily three folds. First, a set of promising research results have been produced by the research community and demonstrated to be useful on various real-world open source projects. There are huge opportunities for exploiting these research results to improve industrial practices of software engineering. Second, there are substantial demands from software practitioners to address their urgent and critical issues in software engineering practices. Third, the research community has already realized gaps between academic research and industrial practices [17], and has called for training and education of researchers and practitioners in conducting successful technology transfer and

adoption. For example, gaps between academic research and industrial practices were discussed in both Carlo Ghezzi's ICSE 2009 keynote speech [13] along with Lionel Briand's ICSM 2011 keynote speech [6] and his recent article [7]. Furthermore, successful experiences on technology transfer were shared by Yuanyuan Zhou in her MSR 2011 keynote speech [27] and Dongmei Zhang in her MSR 2012 keynote speech [24].

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