

# Automated Test-Input Generation

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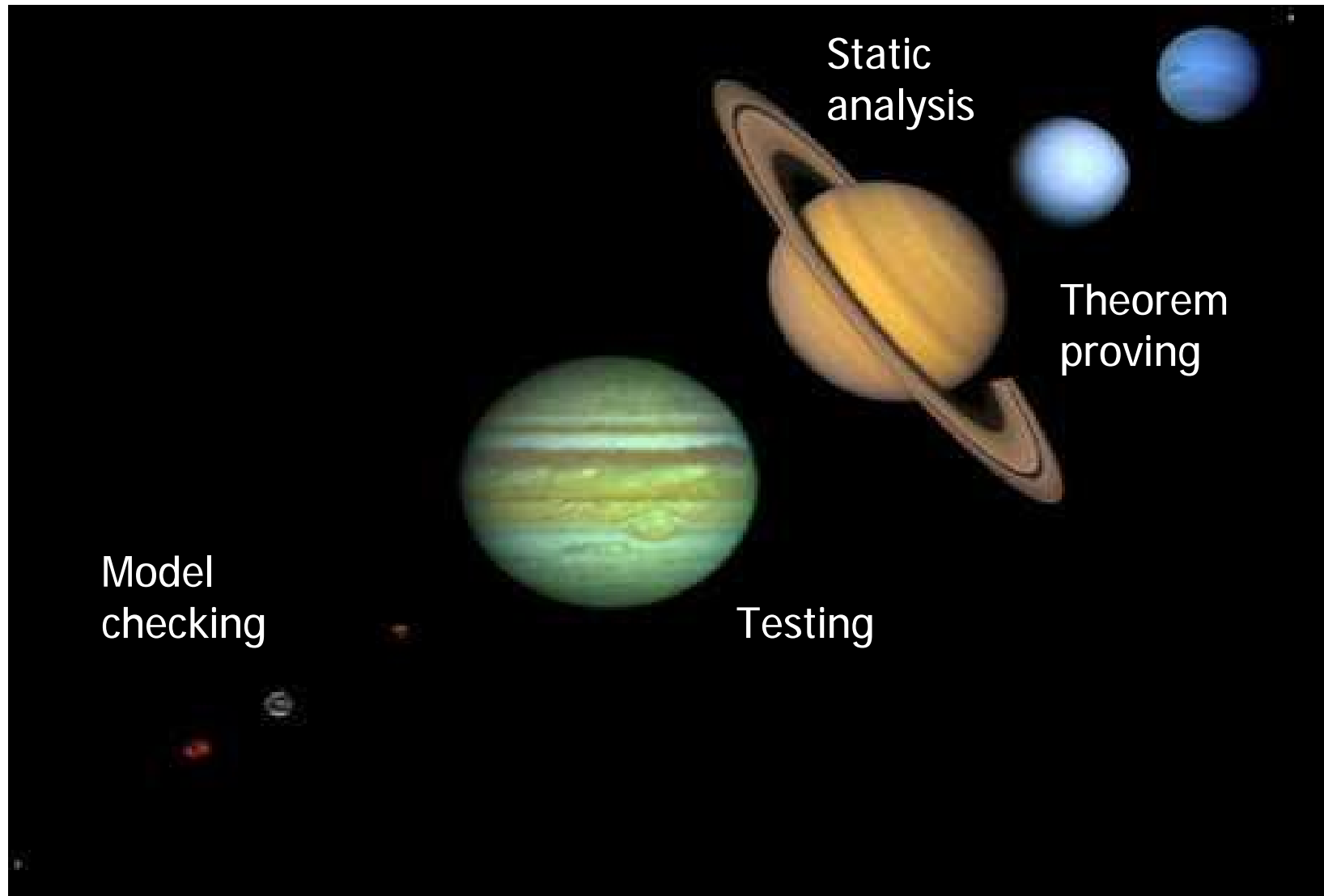
Nov 2005

<http://www.csc.ncsu.edu/faculty/xie/>

# Why Automate Testing?

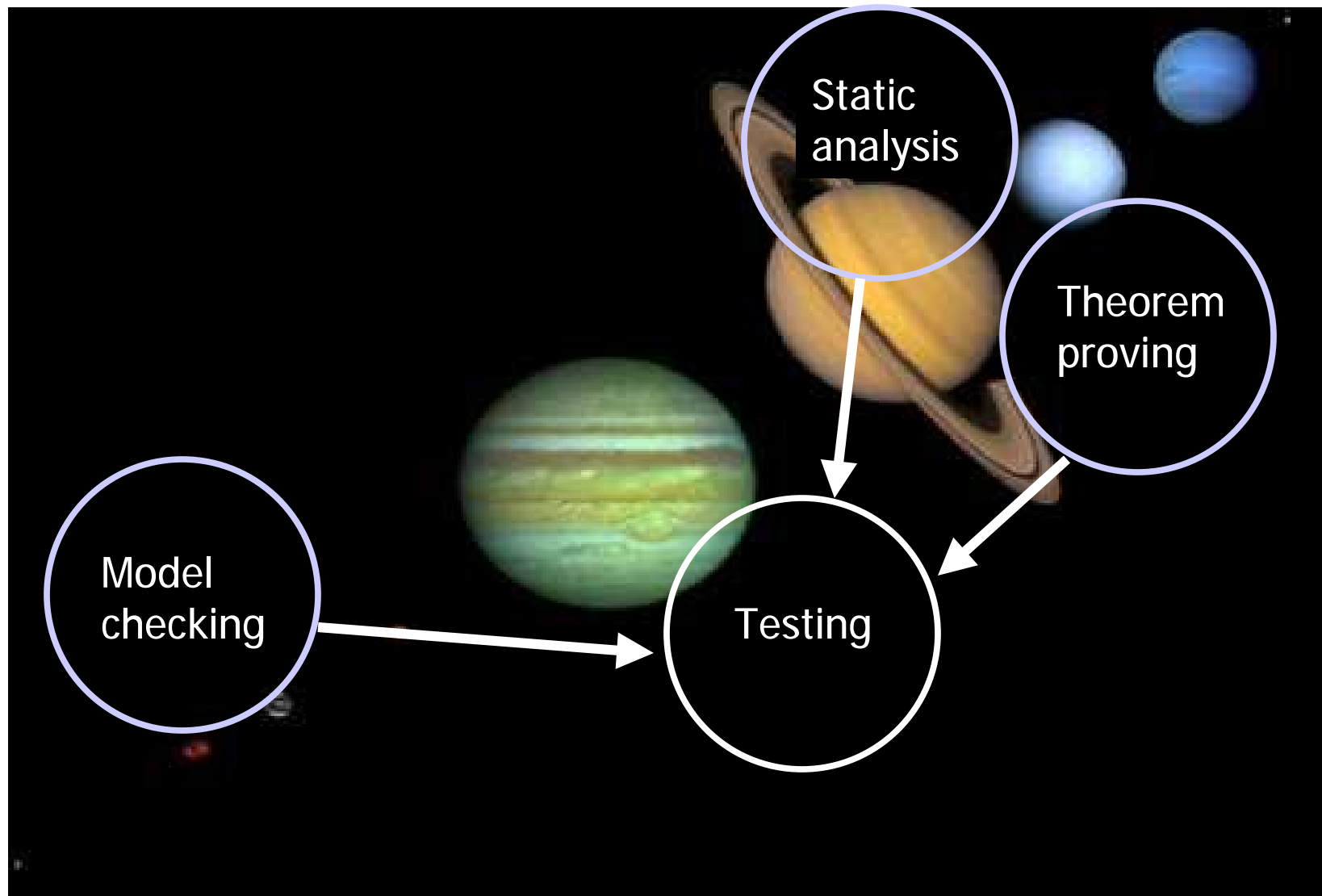
- Software testing is important
  - Software errors cost the U.S. economy about \$59.5 billion each year (0.6% of the GDP) [NIST 02]
  - Improving testing infrastructure could save 1/3 cost
- Software testing is costly
  - Account for even half the total cost of software development [Beizer 90]
- Automated testing reduces manual testing effort
  - Test execution: JUnit framework
  - Test generation: Parasoft Jtest, Agitar Agitator, etc.
  - Test-behavior inspection: Agitar Agitator

# Software Quality Assurance



Adapted from Doron Peled's slide

# Software Quality Assurance



Adapted from Doron Peled's slide

# Binary Search Tree Example

```
public class BST implements Set {
    static class Node {
        int val;
        Node left;
        Node right;
    }
    Node root;
    int size;
    public void insert (int value) { ... }
    public void remove (int value) { ... }
    public bool contains (int value) { ... }
}
```

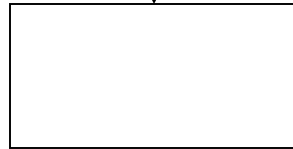
# Approaches

- Method-sequence exploration
  - Parasoft Jtest 4.5 and others
- Specification-based state exploration
  - TestEra [Marinov&Khurshid ASE 01], Korat [Boyapati et al. ISSTA 02]
- Concrete-state exploration
  - Rostra [Xie et al. ASE 04], NASA JPF [Visser et al. ISSTA 04]
- Symbolic-state exploration
  - Symstra [Xie et al. TACAS 05]

# Exploring Method Sequences

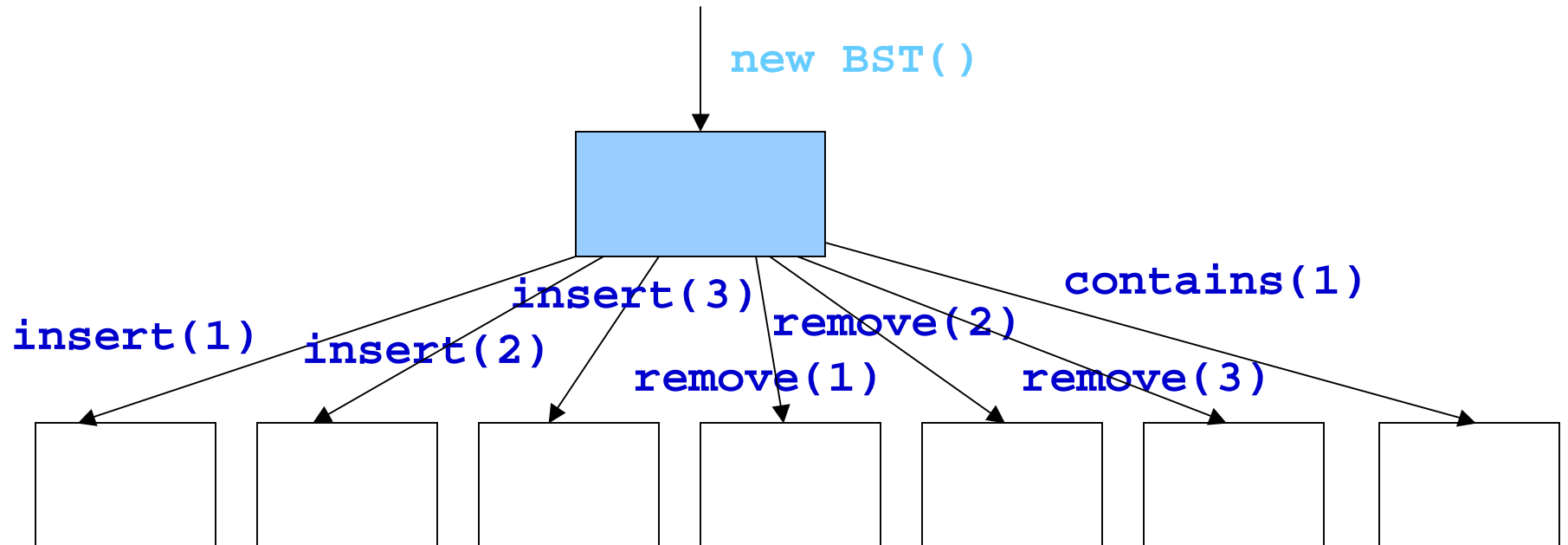
- Method arguments: `insert(1)`, `insert(2)`, `insert(3)`,  
`remove(1)`, `remove(2)`, `remove(3)`, `contains(1)`

`new BST()`



# Exploring Method Sequences

- Method arguments: `insert(1)`, `insert(2)`, `insert(3)`, `remove(1)`, `remove(2)`, `remove(3)`, `contains(1)`

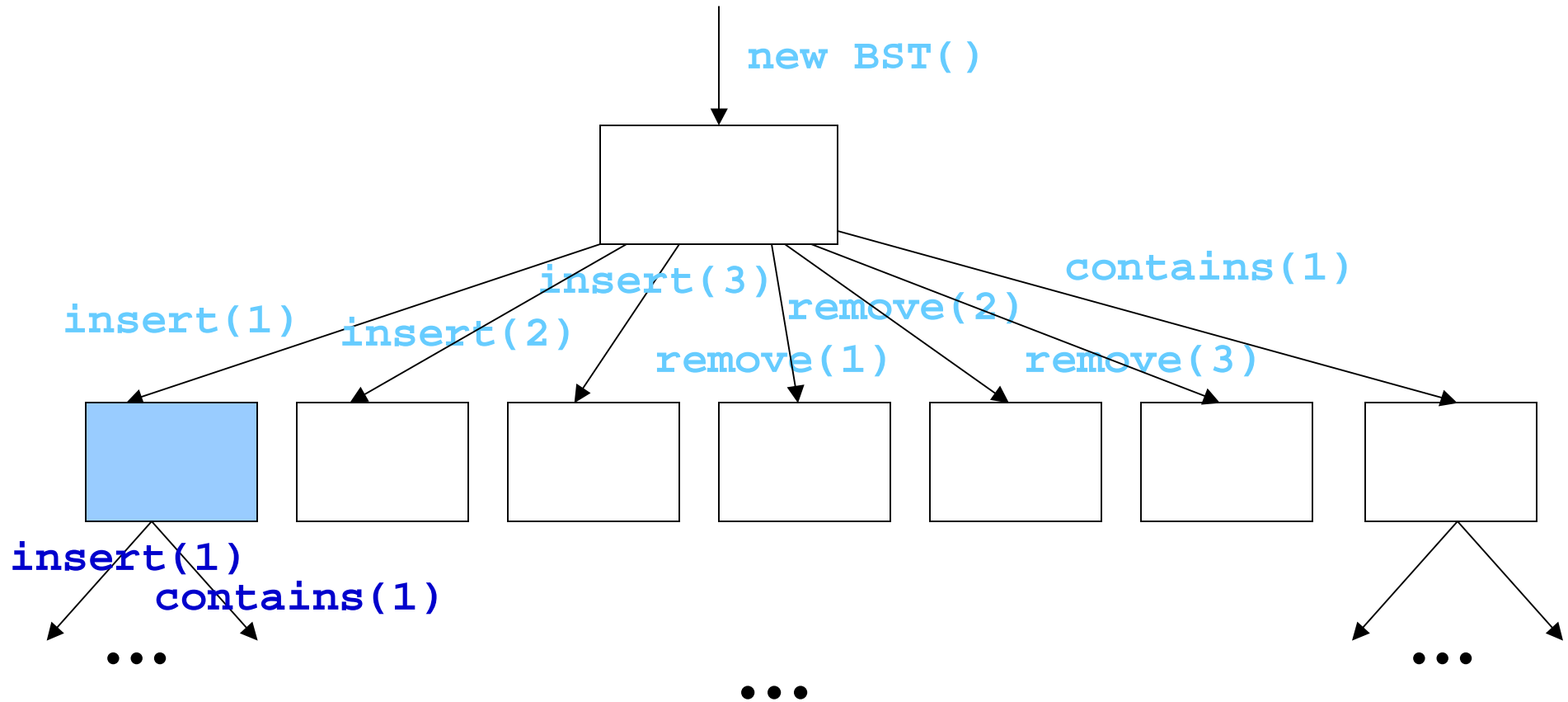


**Iteration 1**



# Exploring Method Sequences

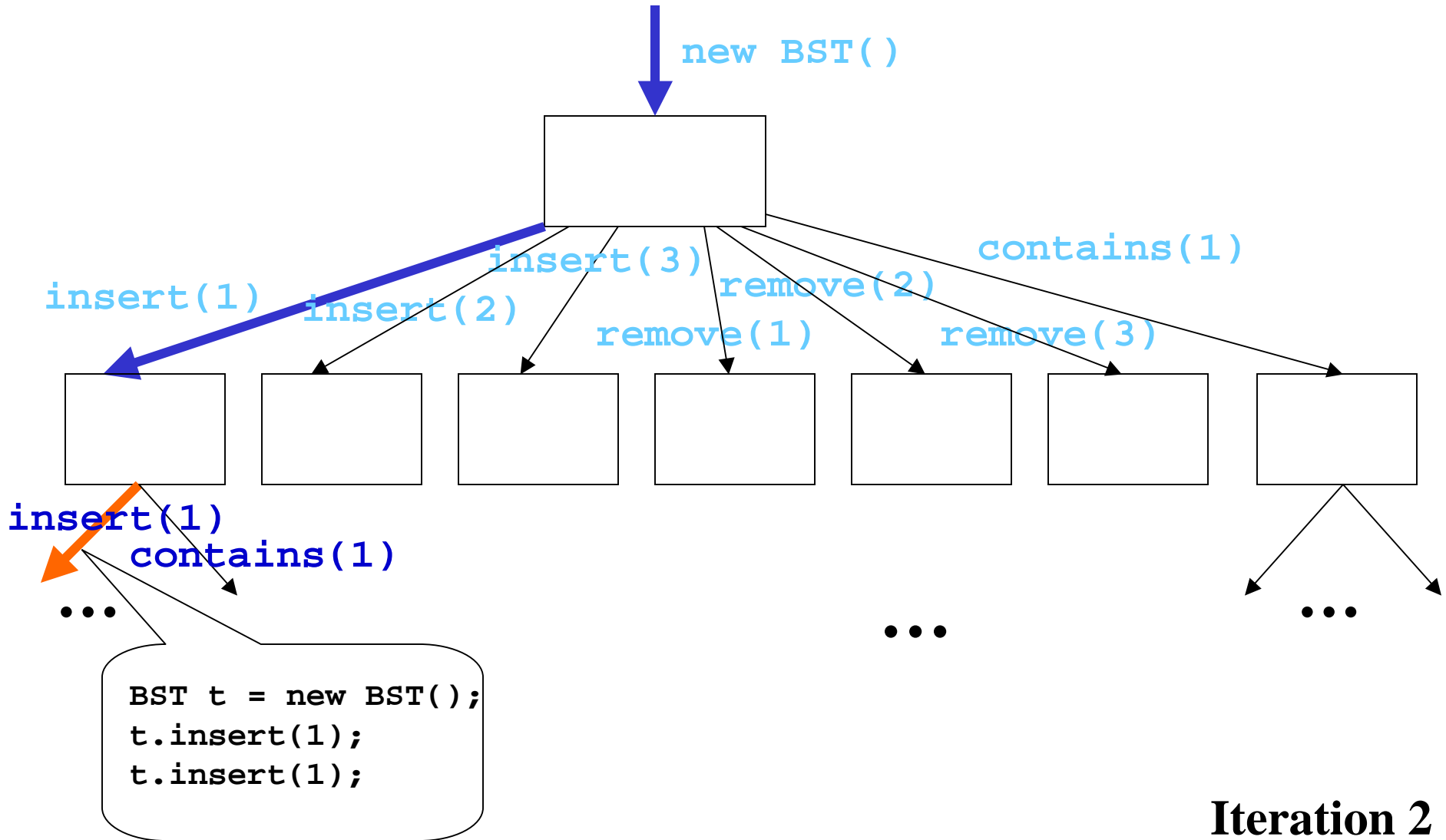
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**Iteration 2**

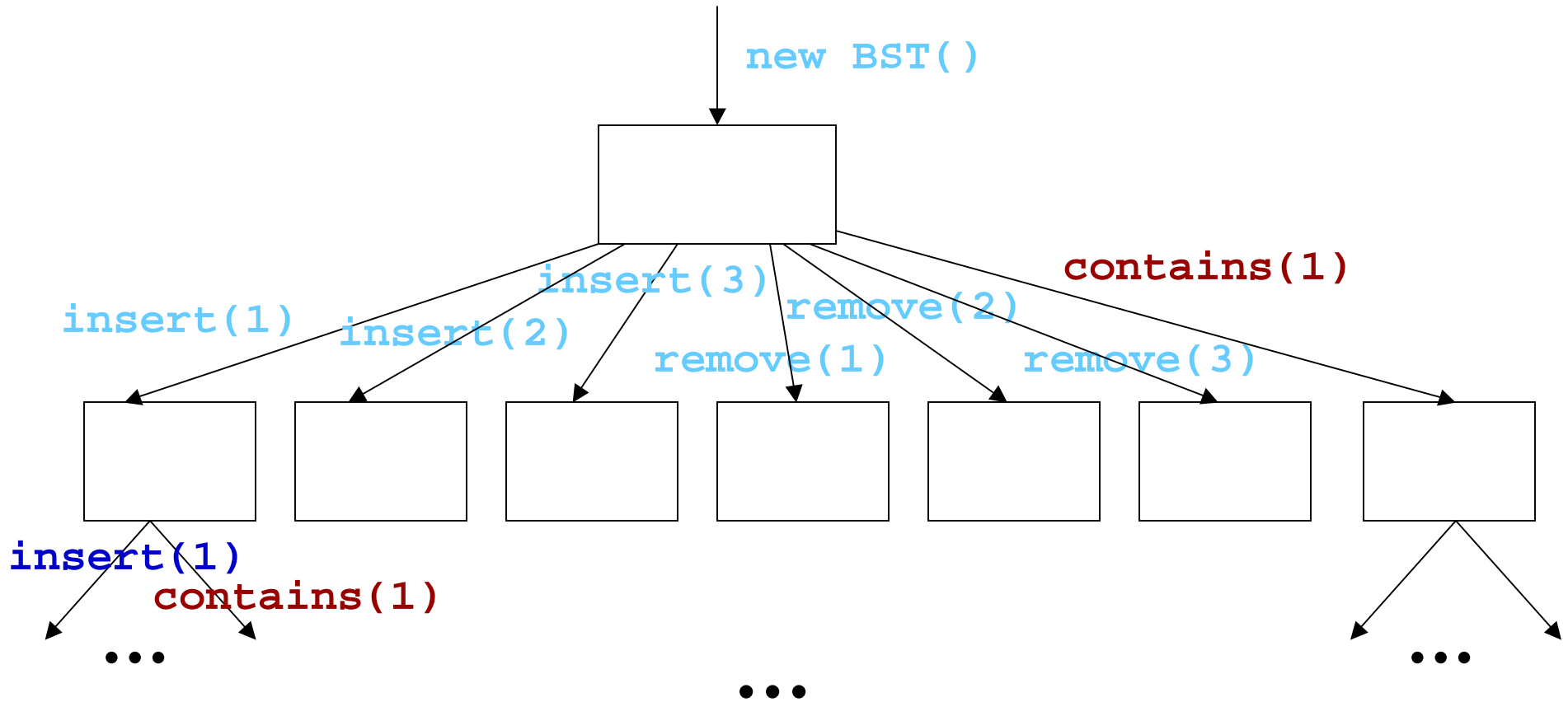
# Generating Tests from Exploration

- Collect method sequence along the shortest path  
(constructor-call edge  $\hat{a}$  each method-call edge)



# Pruning State-Preserving Methods

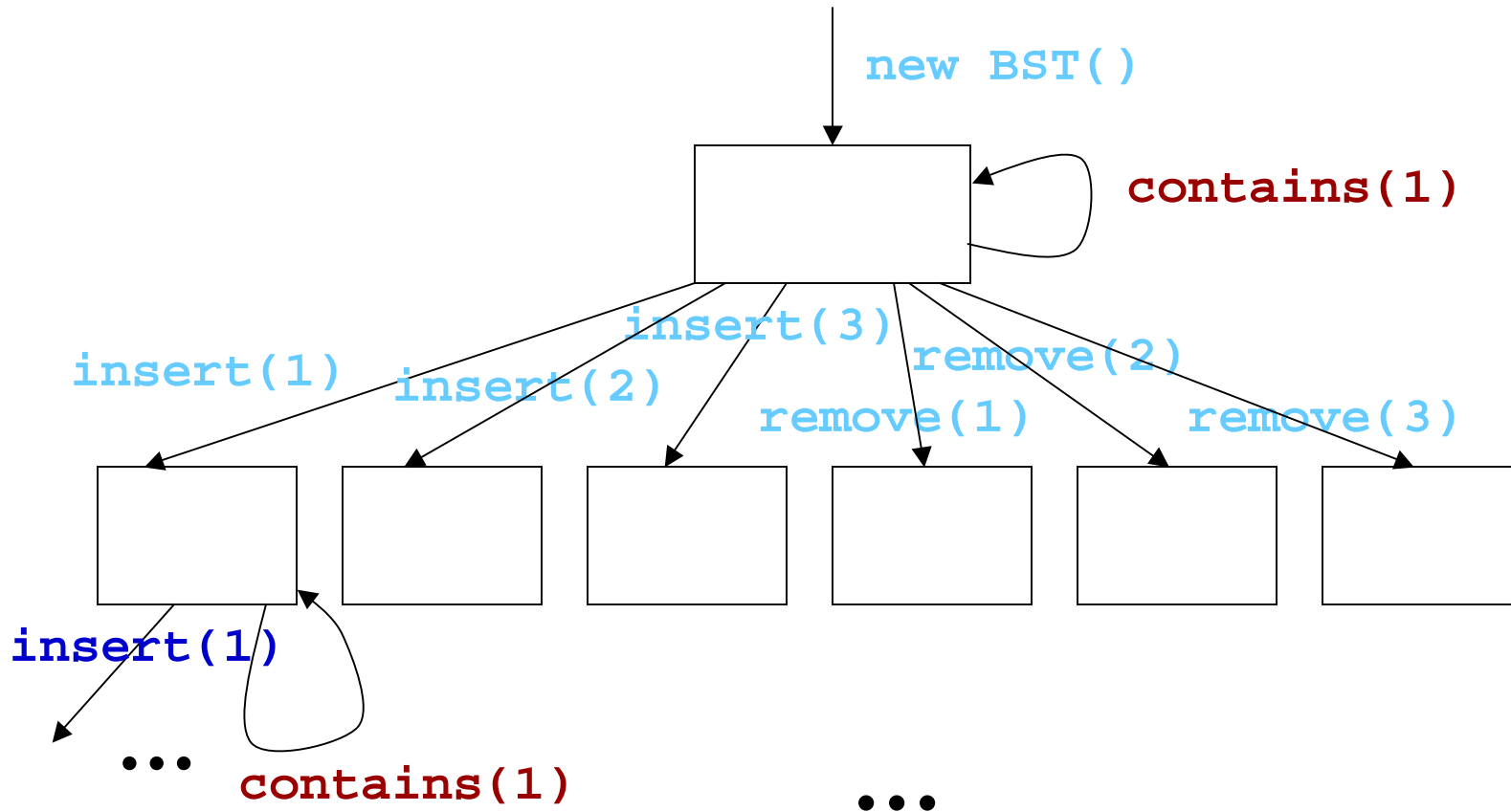
- Method arguments: `insert(1)`, `insert(2)`, `insert(3)`, `remove(1)`, `remove(2)`, `remove(3)`, `contains(1)`



Iteration 2

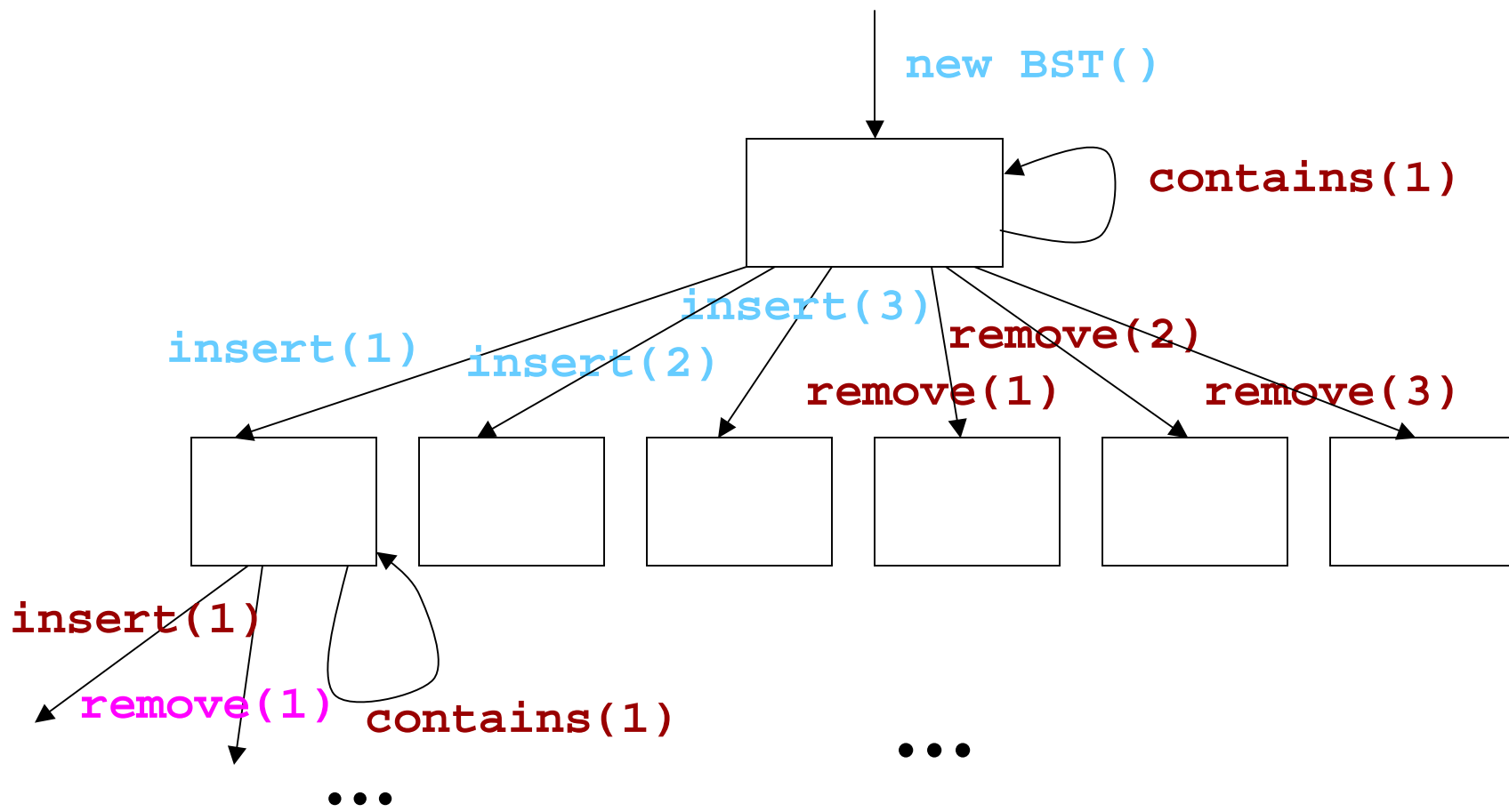
# Pruning State-Preserving Methods

- Method arguments: `insert(1)`, `insert(2)`, `insert(3)`, `remove(1)`, `remove(2)`, `remove(3)`, `contains(1)`



# Observation

- Some method sequences lead receiver object states back to earlier explored states



Iteration 2

# Rationale

- Focus on each method execution individually
- When method executions are **deterministic**, unnecessary to test **a method with the same inputs** (same inputs  $\Rightarrow$  same behavior)
  - **method inputs**: incoming program states
    - receiver-object state: transitively-reachable-field values
    - arguments

# Binary Search Tree Example

```
public class BST implements Set {
    //@ invariant          // class invariant for BST
    //@ repOk();
    Node root;
    int size;
    public void insert (int value) { ... }
    public void remove (int value) { ... }
    public bool contains (int value) { ... }
}
```

- If receiver-object states are directly constructed, we need to have a way to know **valid object states**
  - defined by a Java predicate: **repOK**

# repOk (Class Invariant)

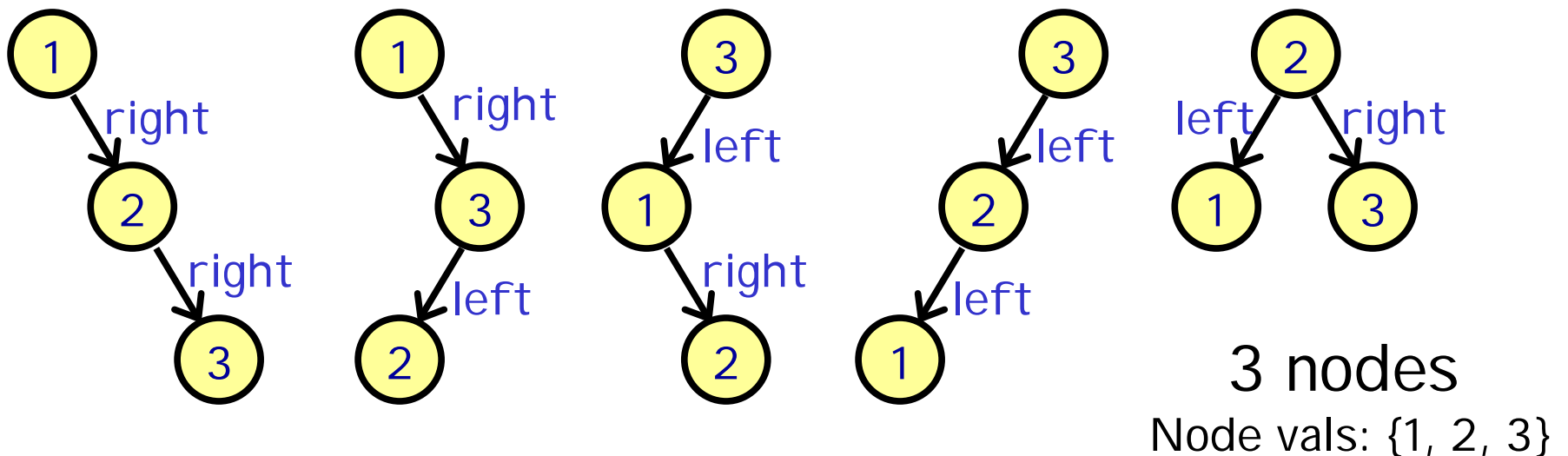
```
boolean repOk() {
    if (root == null) return size == 0; // empty tree has size 0
    Set visited = new HashSet(); visited.add(root);
    List workList = new LinkedList(); workList.add(root);
    while (!workList.isEmpty()) {
        Node current = (Node)workList.removeFirst();
        if (current.left != null) {
            if (!visited.add(current.left)) return false; // acyclicity
            workList.add(current.left);
        }
        if (current.right != null) {
            if (!visited.add(current.right)) return false; // acyclicity
            workList.add(current.right);
        }
    }
    if (visited.size() != size) return false; // consistency of size
    if (!isOrdered(root)) return false; // data is ordered
    return true;
}
```



# Korat

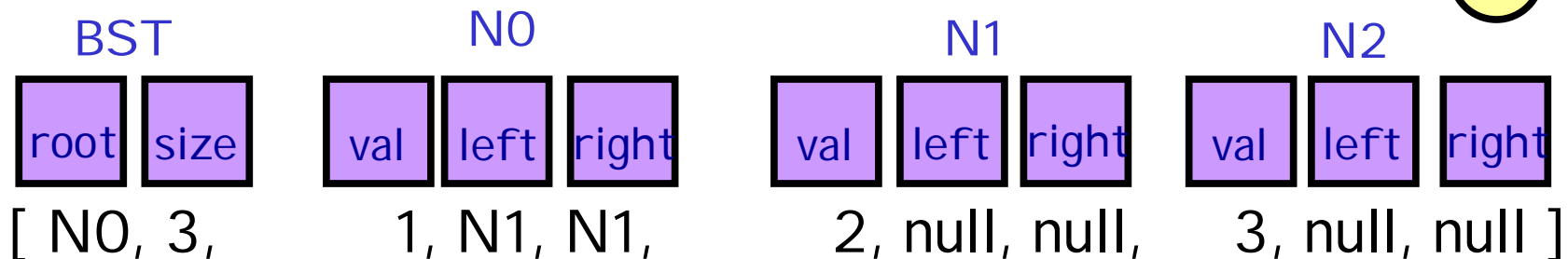
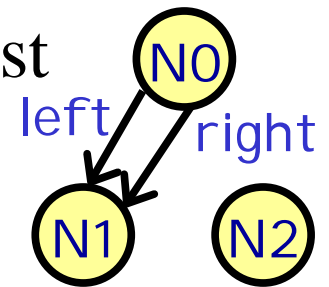
[Boyapati et al. ISSTA 02]

- Given predicate  $p$  and finitization  $f$ , generate all inputs for which  $p$  returns “*true*”
  - uses finitization to define input space
    - e.g., defines #nodes and what values can be on a BST node.
  - systematically explores **valid** input space
    - prunes input space using field accesses



# Korat's Smart Search

- candidate is a vector of field domain indices
- for each candidate vector, Korat
  - creates corresponding structure
  - invokes `repOk` and monitors the execution
  - builds field ordering, i.e., list of fields ordered by time of first access
  - if `repOk` returns “`true`”, outputs structure(s)
  - if `repOk` returns “`false`”, backtracks on the last field accessed using field ordering



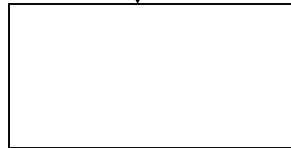
# What if repOK is not there

- Then direct construction of valid object states seem impossible
- Solution: fall back to building valid object states with method sequences but in a **smarter** way
  - method-sequence exploration
    - assume a state-modifying method leads to a new object state
  - **explicit-state exploration**
    - inspect whether an object state is actually new (defined by transitively-reachable-field values)

# Exploring Concrete States

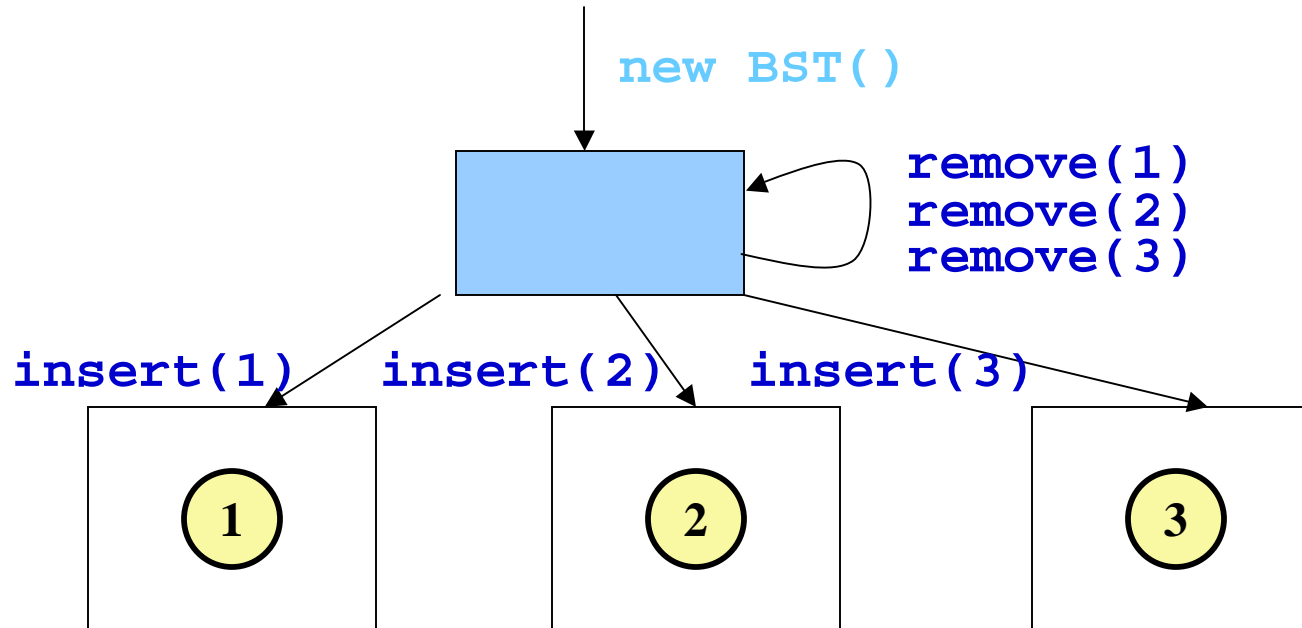
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# Exploring Concrete States

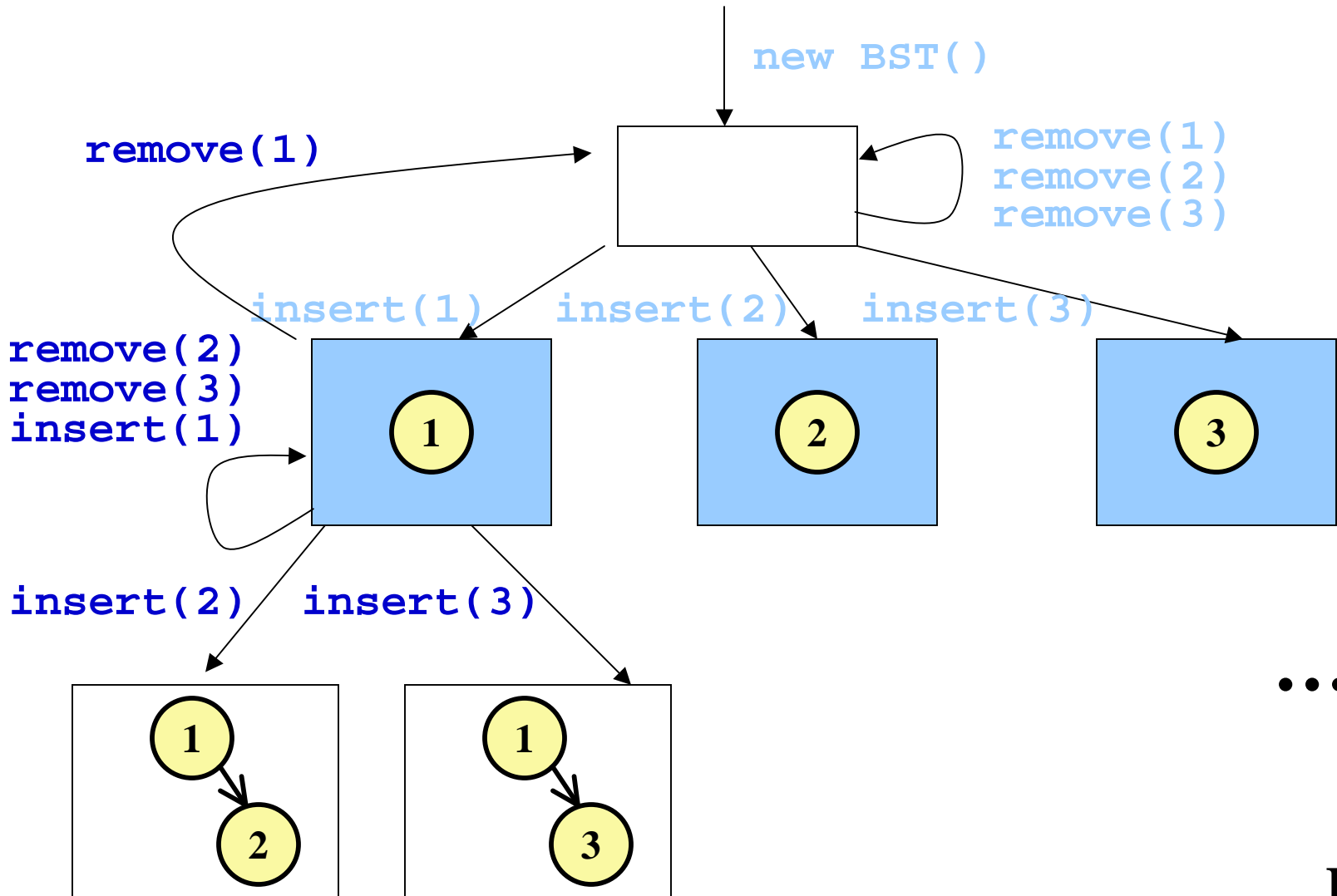
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**Iteration 1**

# Exploring Concrete States

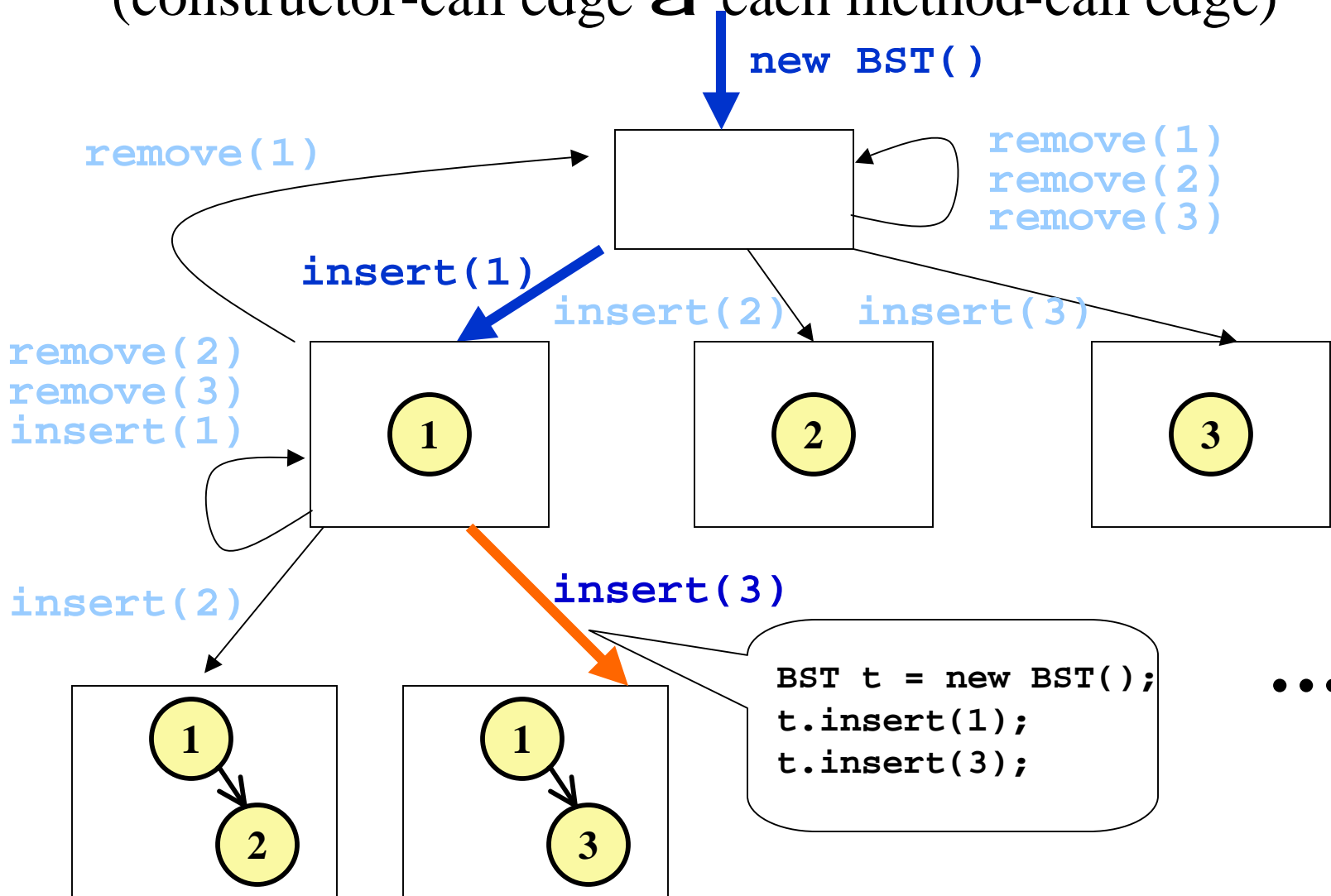
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Iteration 2

# Generating Tests from Exploration

- Collect method sequence along the shortest path  
(constructor-call edge  $\hat{a}$  each method-call edge)



# Improvement over Method-Sequence Exploration

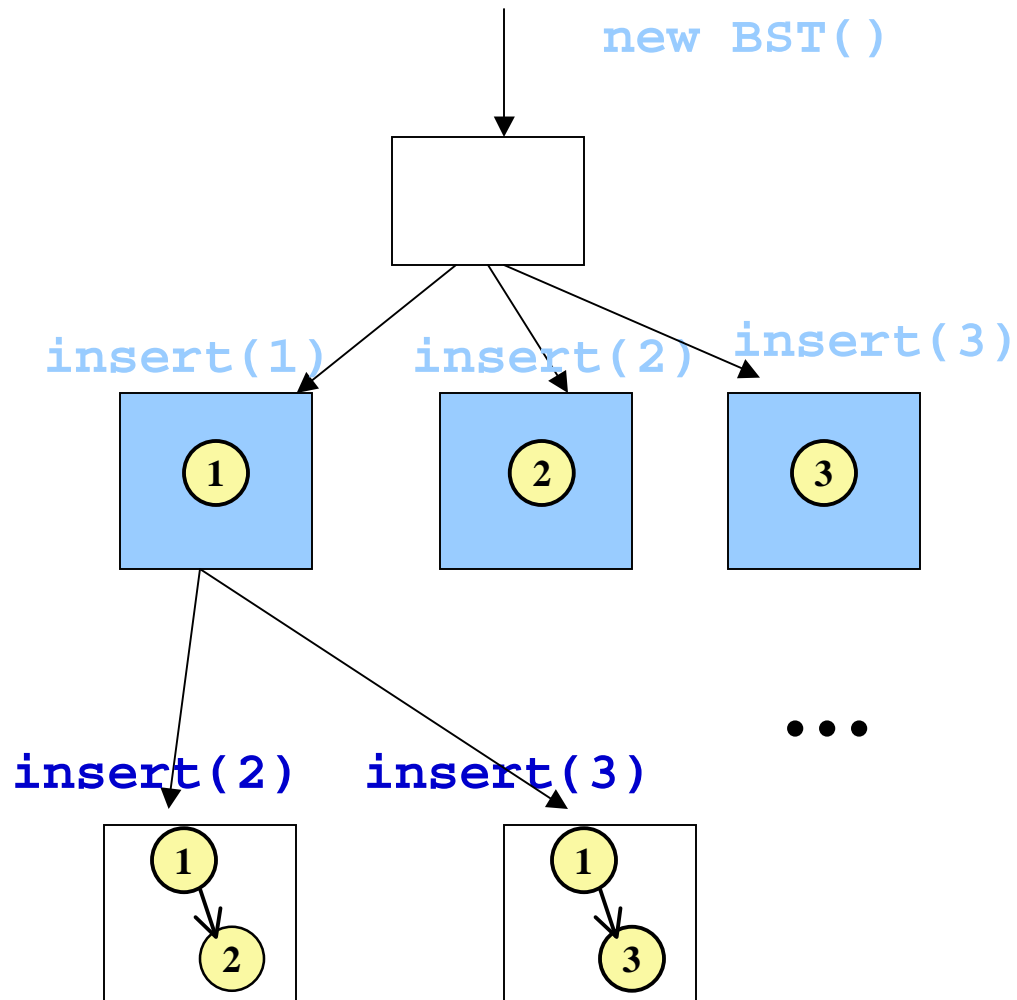
- Industry standard tool adopting previous approach based on method sequences
  - Parasoft Jtest 4.5 [www.parasoft.com](http://www.parasoft.com)
    - Generate tests with method-call lengths up to three
- Use Jtest to generate tests for 11 Java classes from various sources
  - most are complex data structures
- Apply Rostra on the Jtest-generated tests
  - 90% of generated tests are redundant, i.e., 90% tests contain no new method inputs



# Issues of Concrete-State Exploration

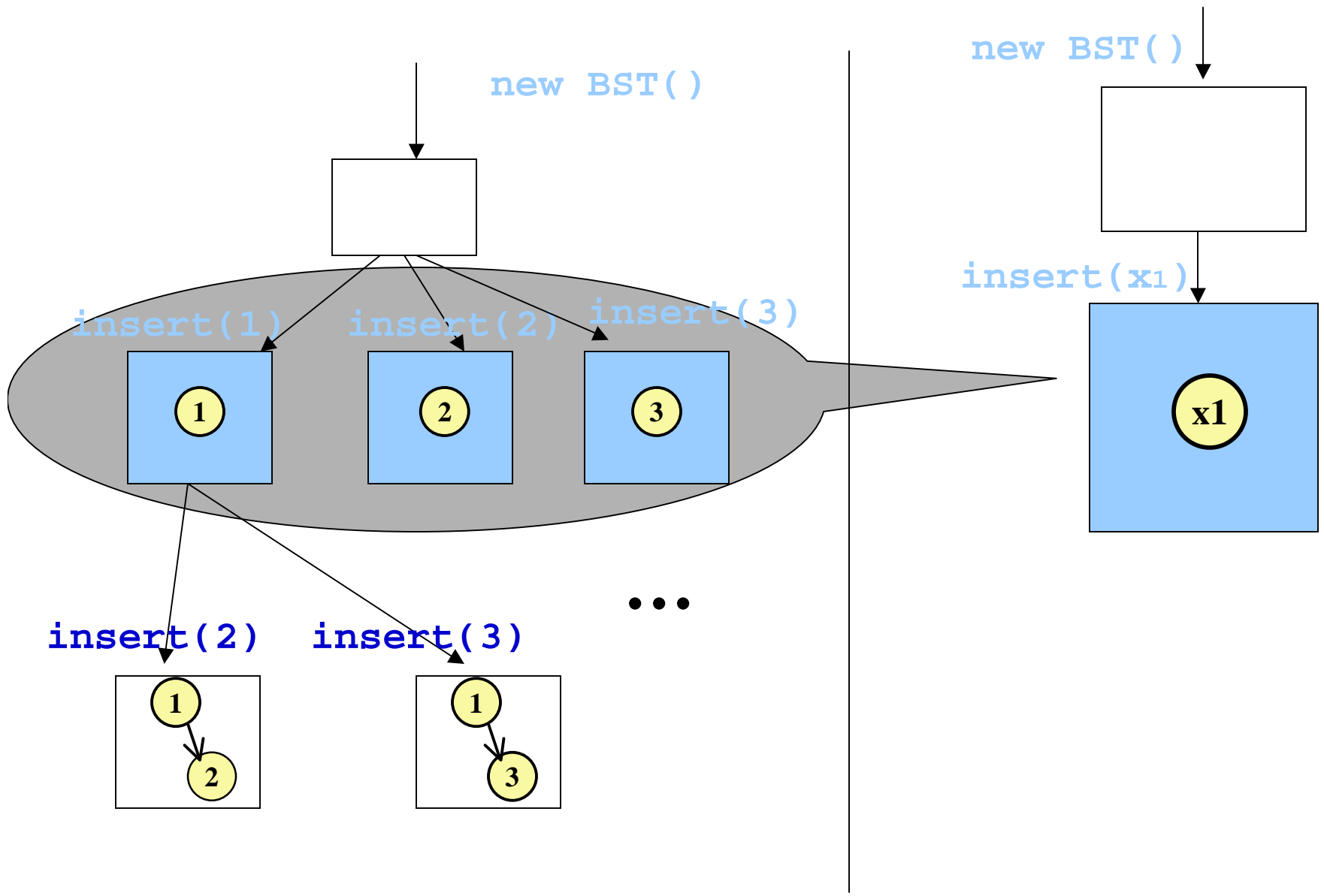
- State explosion
  - need at least  $N$  different `insert` arguments to reach a BST with size  $N$
  - run out of memory when  $N$  reaches 7
- Relevant-argument determination
  - assume a set of given relevant arguments
    - e.g., `insert(1)`, `insert(2)`, `insert(3)`, etc.

# Exploring Concrete States



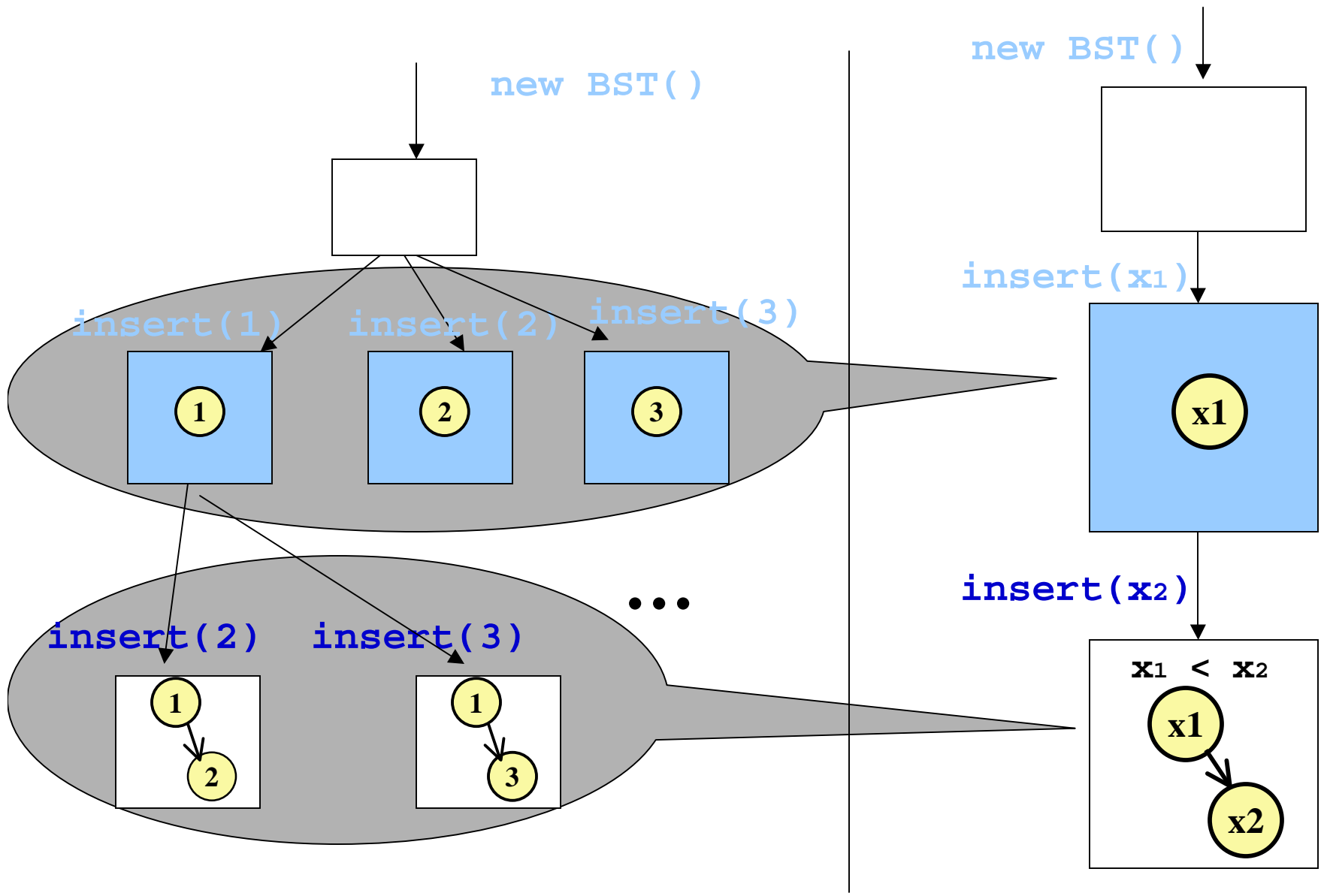
**Iteration 2**

# State Abstraction: Symbolic States



**Iteration 2**

# State Abstraction: Symbolic States



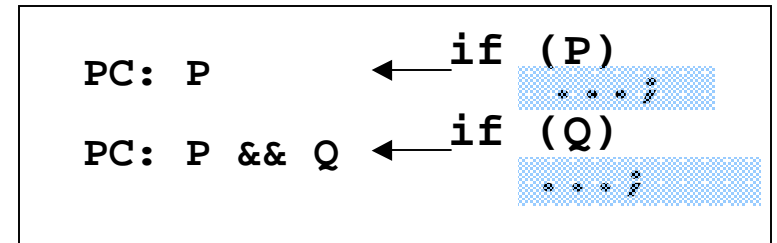
Iteration 2

# Symbolic Execution

- Execute a method on symbolic input values

- inputs: `insert(SymbolicInt x)`

- Explore paths of the method

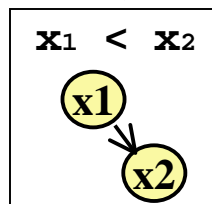


- Build a **path condition** for each path

- conjunct conditionals or their negations

- Produce **symbolic states** (<heap, path condition>)

- e.g.,



# Symbolic Execution Example

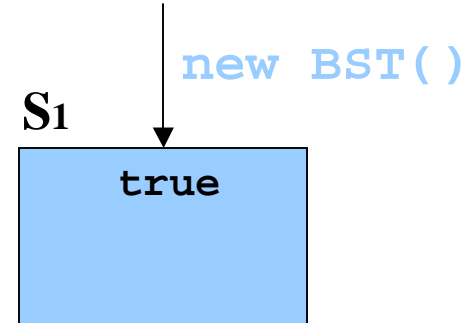
```
public void insert(SymbolicInt x) {
    if (root == null) {
        root = new Node(x);
    } else {
        Node t = root;
        while (true) {
            if (t.value < x) {
                //explore the right subtree
                ...

            } else if (t.value > x) {
                //explore the left subtree
                ...

            } else return;
        }
    }
    size++;
}
```

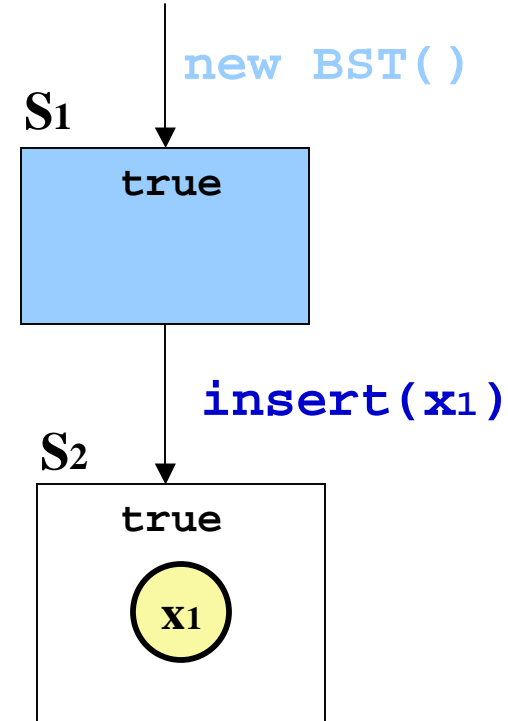
# Exploring Symbolic States

```
public void insert(SymbolicInt x) {  
    if (root == null) {  
        root = new Node(x);  
    } else {  
        Node t = root;  
        while (true) {  
            if (t.value < x) {  
                //explore the right subtree  
                ...  
            } else if (t.value > x) {  
                //explore the left subtree  
                ...  
            } else return;  
        }  
    }  
    size++;  
}
```



# Exploring Symbolic States

```
public void insert(SymbolicInt x) {  
    if (root == null) {  
        root = new Node(x);  
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        Node t = root;  
        while (true) {  
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                ...  
            } else if (t.value > x) {  
                //explore the left subtree  
                ...  
            } else return;  
        }  
    }  
    size++;  
}
```

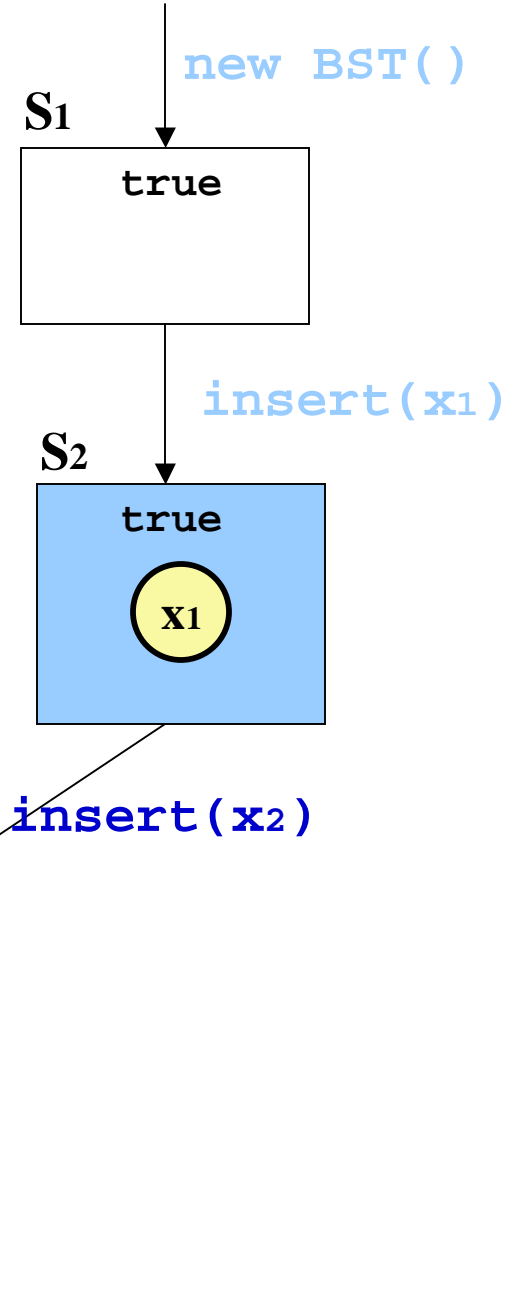


Iteration 1



# Exploring Symbolic States

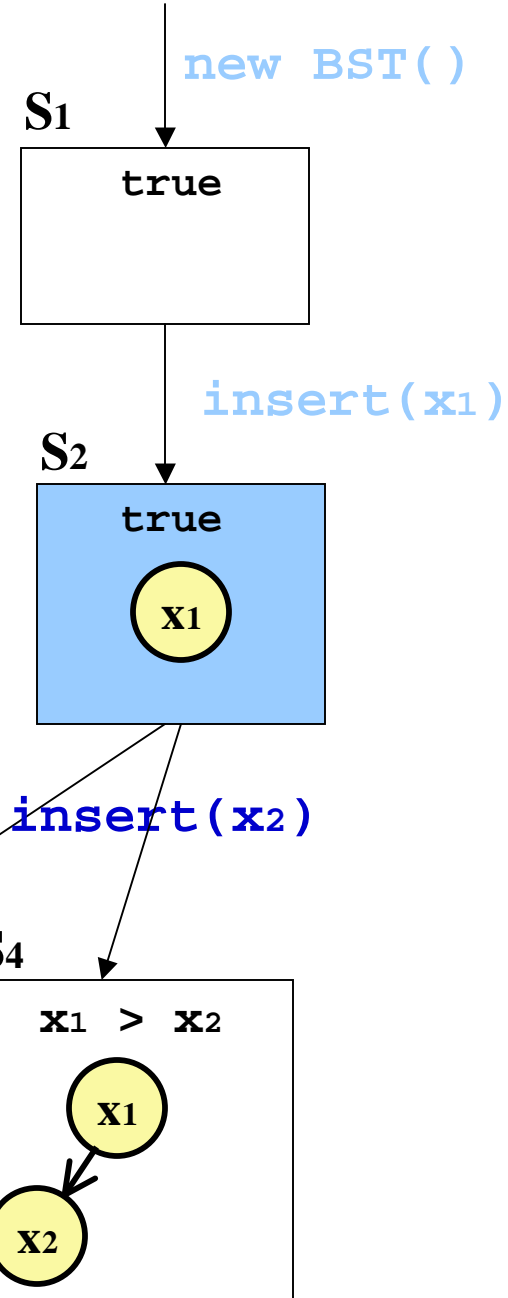
```
public void insert(SymbolicInt x) {  
    if (root == null) {  
        root = new Node(x);  
    } else {  
        Node t = root;  
        while (true) {  
            if (t.value < x) {  
                //explore the right subtree  
                ...  
            } else if (t.value > x) {  
                //explore the left subtree  
                ...  
            } else return;  
        }  
    }  
    size++;  
}
```



Iteration 2

# Exploring Symbolic States

```
public void insert(SymbolicInt x) {  
    if (root == null) {  
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        Node t = root;  
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```

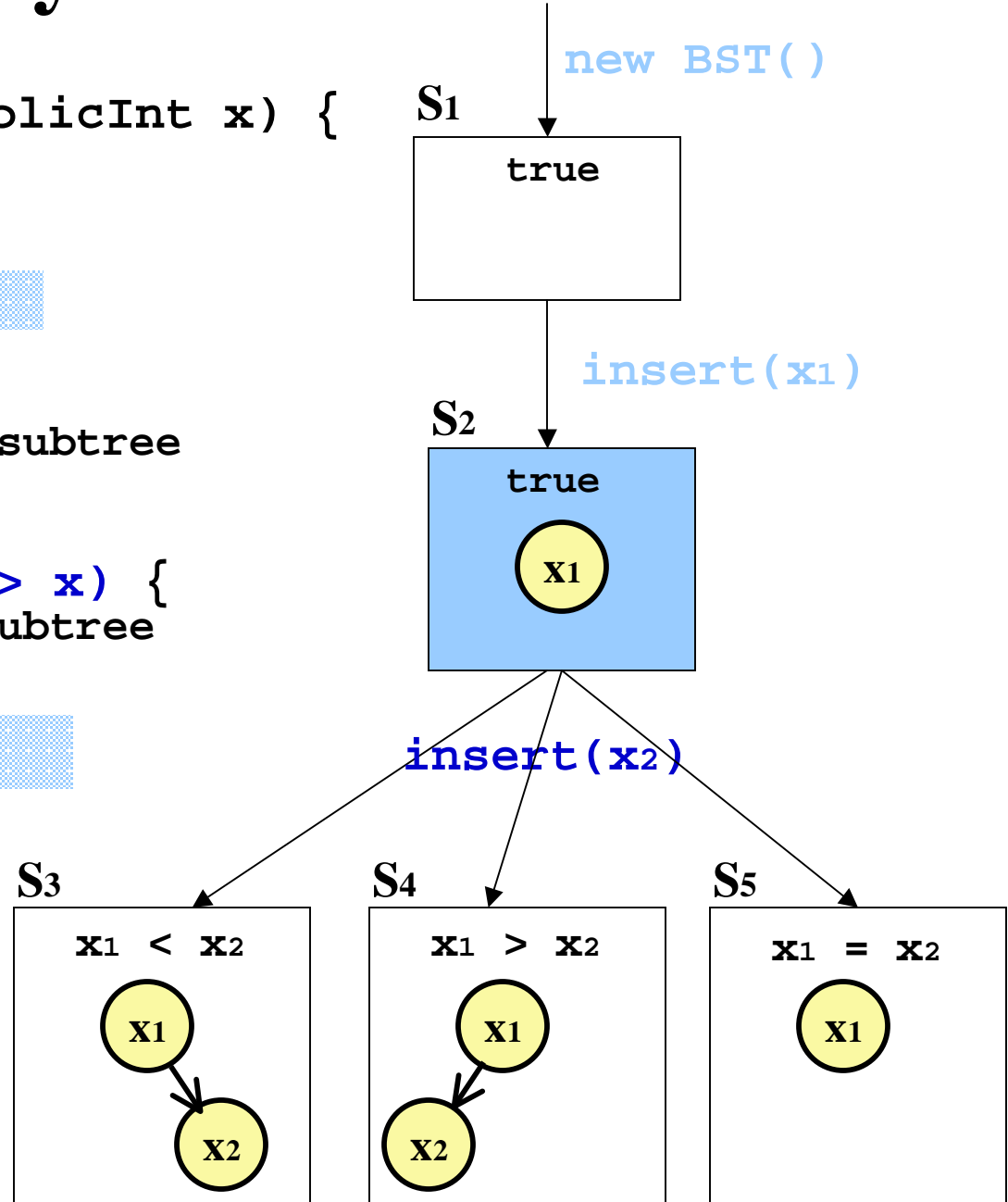


Iteration 2

# Exploring Symbolic States

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            } else if (t.value > x) {  
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                ...  
            } else return;  
        }  
    }  
    size++;  
}
```

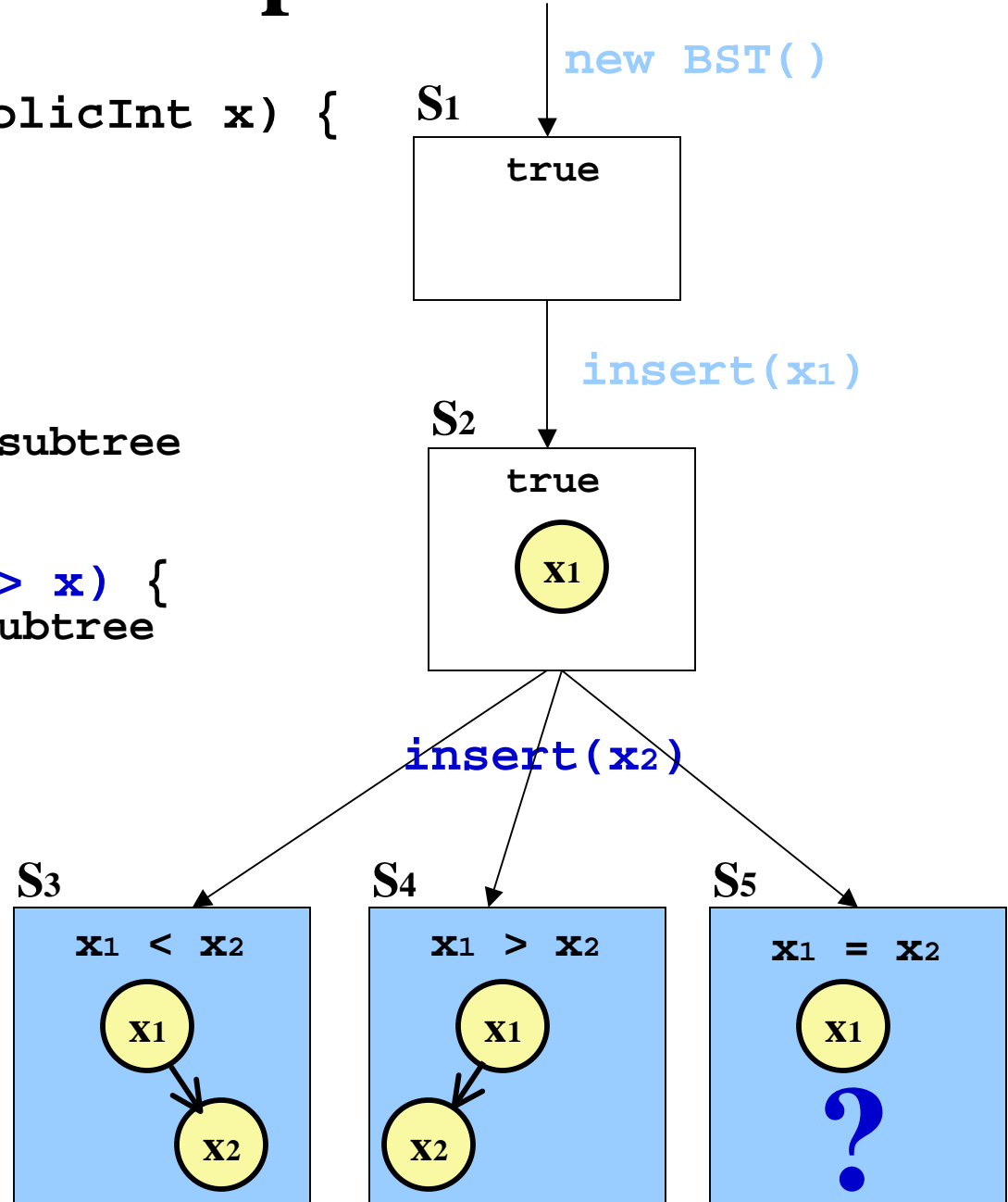
Iteration 2



# Which States to Explore Next?

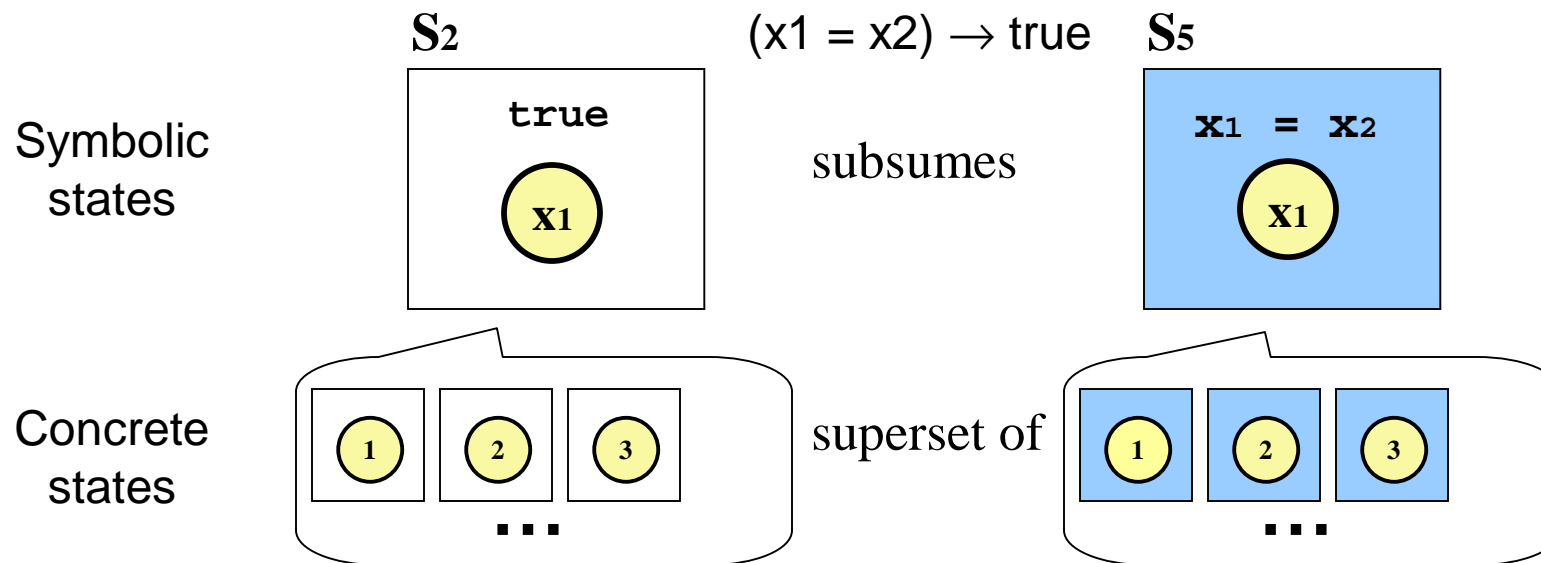
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public void insert(SymbolicInt x) {  
  if (root == null) {  
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  } else {  
    Node t = root;  
    while (true) {  
      if (t.value < x) {  
        //explore the right subtree  
        ...  
      } else if (t.value > x) {  
        //explore the left subtree  
        ...  
      } else return;  
    }  
  }  
  size++;  
}
```

Iteration 3



# Symbolic State Subsumption

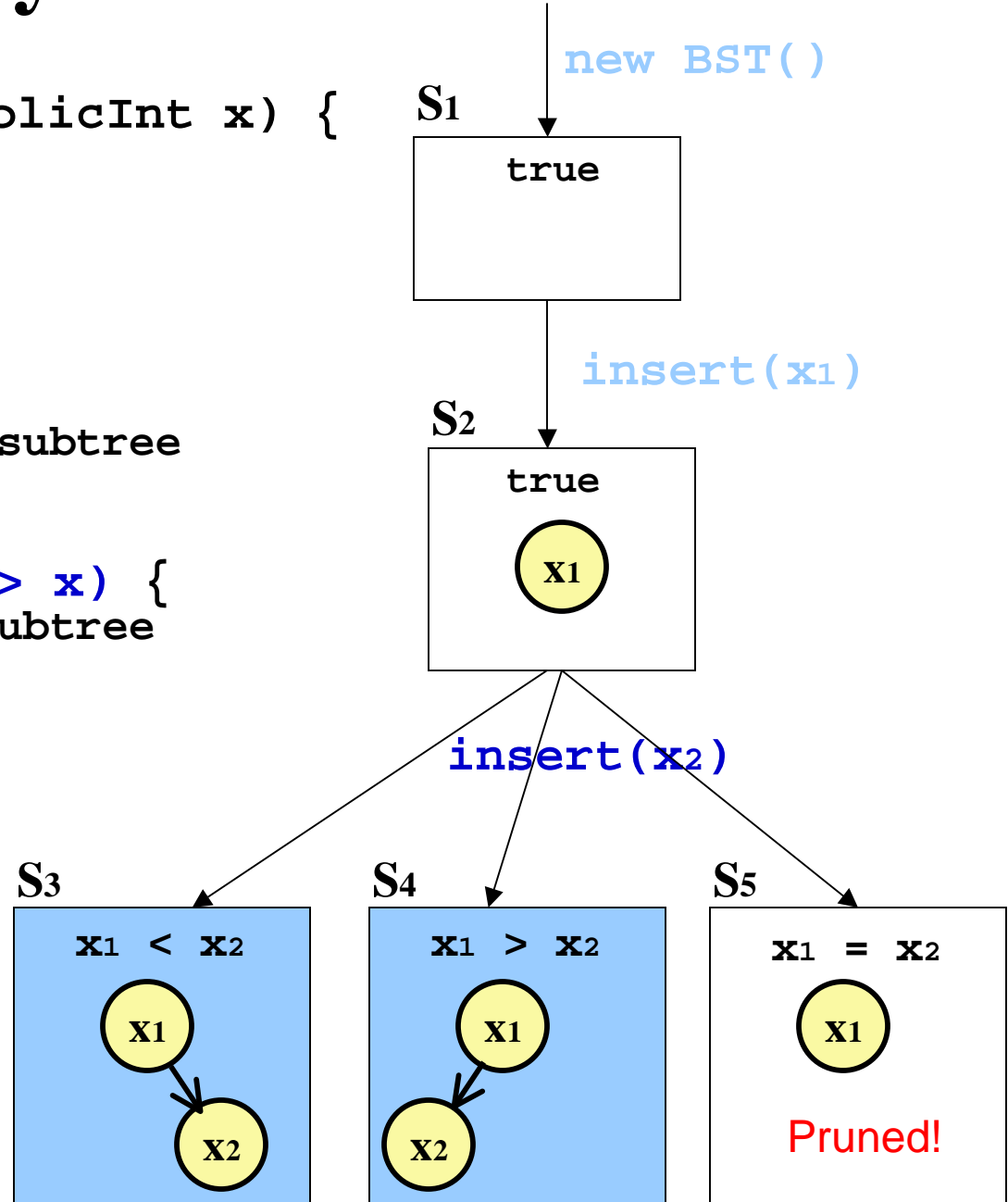
- Symbolic state  $S_2: \langle H_2, c_2 \rangle$  subsumes  $S_5: \langle H_5, c_5 \rangle$ 
  - Heaps  $H_2$  and  $H_5$  are isomorphic
  - Path condition  $c_5 \rightarrow c_2$  [checked using CVC Lite, Omega]
- If  $S_2$  has been explored,  $S_5$  is pruned.
  - Still guarantee path coverage within a method



# Pruning Symbolic State

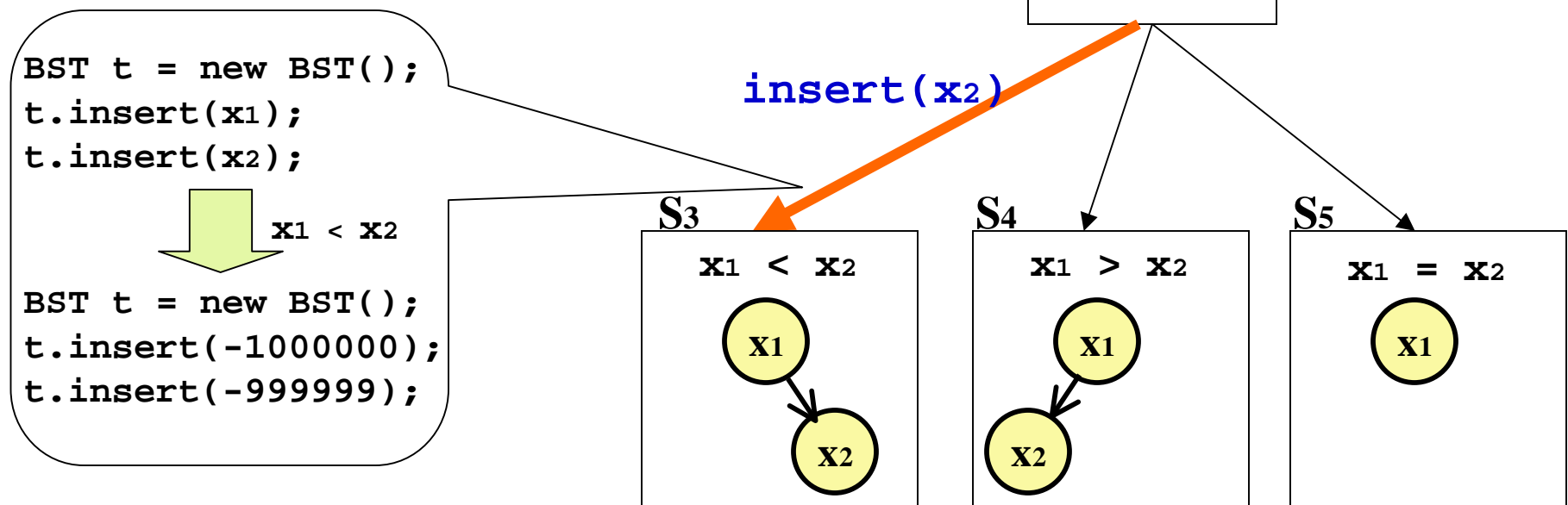
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                ...  
            } else if (t.value > x) {  
                //explore the left subtree  
                ...  
            } else return;  
        }  
    }  
    size++;  
}
```

Iteration 3



# Generating Tests from Exploration

- Collect method sequence along the shortest path  
(constructor-call edge  $\hat{a}$   
each method-call edge)
- Generate concrete arguments by using a constraint solver [POOC]



# Improvement over Concrete-State Exploration

- Focus on the key methods (e.g., add, remove)
- Generate tests up to 8 iterations
  - Concrete-State vs. Symstra
- Measure #states, time, and branch coverage
- Experimental results show Symstra effectively
  - reduces the **state space** for exploration
  - reduces the **time** for achieving branch coverage



# Statistics of Some Programs

class	N	Concrete-State			Symstra		
		Time (sec)	#states	%cov (branch)	Time (sec)	#states	%cov (branch)
BinarySearchTree	6	23	731	100	29	197	100
	7	Out of Memory			137	626	100
	8	Out of Memory			318	1458	100
BinomialHeap	6	51	3036	84	3	7	84
	7	Out of Memory			4	8	90
	8	Out of Memory			9	9	91
LinkedList	6	412	9331	100	0.6	7	100
	7	Out of Memory			0.8	8	100
	8	Out of Memory			1	9	100
TreeMap	6	12	185	83	8	28	83
	7	42	537	84	19	59	84
	8	Out of Memory			63	111	84

# Summary

- Method-sequence exploration
  - Parasoft Jtest 4.5 and others
- Specification-based state exploration
  - TestEra [Marinov&Khurshid ASE 01], Korat [Boyapati et al. ISSTA 02]
- Concrete-state exploration
  - Rostra [Xie et al. ASE 04], NASA JPF [Visser et al. ISSTA 04]
- Symbolic-state exploration
  - Symstra [Xie et al. TACAS 05]
- More recently: concolic-state exploration
  - DART [Godefroid et al. PLDI 05], EGT [Cadar&Engler SPIN 05], CUTE [Sen et al. FSE 05]

**Questions?**